

**JUSTIFICATION OF ESTIMATES**  
**LIMITATION ON ADMINISTRATION**

**Proposed Appropriation Language**

**LIMITATION ON ADMINISTRATION**

For necessary expenses for the Railroad Retirement Board for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, [\$95,000,000] \$97,700,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## Explanation of Proposed Appropriation Language

<u>Appropriation language</u>	<u>Explanation</u>
For necessary expenses for the Railroad Retirement Board for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, [\$95,000,000] <i>\$97,700,000</i> , to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund.	This budget request is for funds to administer the railroad retirement/survivor and unemployment/sickness insurance benefit programs under a single administrative account. The Limitation on Administration Account draws on the following trust fund accounts for funding: Railroad Retirement Account, Social Security Equivalent Benefit Account, Railroad Retirement Supplemental Account, and the Railroad Unemployment Insurance Administration Fund.

## Authorizing Legislation

<u>Legislation</u>	<u>2001</u>		<u>2002</u>	
	<u>Authorized</u>	<u>Appropriation</u>	<u>Authorized</u>	<u>Appropriation request</u>
Authorizing legislation -- Railroad Retirement Act: Section 15(a) and Section 15(c), first two sentences of each section, and Section 15A(c)(1)	Indefinite	\$95,000,000 <u>a/</u>	Indefinite	\$97,700,000
Authorizing legislation -- Railroad Unemployment Insurance Act: Section 11(a)				

a/ Reflects the appropriation received under Public Law 106-554.

### Section 15(a) of the Railroad Retirement Act:

"The Railroad Retirement Account established by section 15(a) of the Railroad Retirement Act of 1937 shall continue to be maintained in the Treasury of the United States. There is hereby appropriated to such Account for each fiscal year, beginning with the fiscal year ending June 30, 1975, to provide for the payment of benefits to be made from such Account in accordance with the provisions of section 7(c)(1) of this Act, and to provide for expenses necessary for the Board in the administration of all provisions of this Act, an amount equal to amounts covered into the Treasury (minus refunds) during each fiscal year under the Railroad Retirement Tax Act, except those portions of the amounts covered into the Treasury under sections 3211(b), 3221(c), and 3221(d) of such Tax Act as are necessary to provide sufficient funds to meet the obligation to pay supplemental annuities at the level provided under section 3(e) of this Act and, with respect to those entitled to supplemental annuities under section 205(a) of title II of this Act, at the level provided under section 205(a)."

### Section 15(c) of the Railroad Retirement Act:

"The Railroad Retirement Supplemental Account established by section 15(b) of the Railroad Retirement Act of 1937 shall continue to be maintained in the Treasury of the United States. There is hereby appropriated to such account for each fiscal year, beginning with the fiscal year ending June 30, 1975, out of any moneys in the Treasury not otherwise appropriated, to provide for the payment of supplemental annuities under section 2(b) of this Act, and to provide for the expenses necessary for the Board in the administration of the payment of such supplemental annuities, an amount equal to such portions of the amounts covered into the Treasury (minus refunds) during each fiscal year under section 3211(b), 3221(c), and 3221(d) of the Railroad Retirement Tax Act as are not appropriated to the Railroad Retirement Account pursuant to the provisions of subsection (a) of this section."

### Section 15 A(c)(1) of the Railroad Retirement Act:

"Except as otherwise provided in this section, amounts in the Social Security Equivalent Benefit Account shall be available only for purposes of paying social security equivalent benefits under this Act and to provide for the administrative expenses of the Board allocable to social security equivalent benefits."

### Section 11(a) of the Railroad Unemployment Insurance Act:

"The Secretary of the Treasury shall maintain in the unemployment trust fund established pursuant to section 904 of the Social Security Act an account to be known as the railroad unemployment insurance administration fund. This unemployment insurance administration fund shall consist of (i) such part of all contributions collected pursuant to section 8 of this Act as equals 0.65 per centum of the total compensation on which such contributions are based; (ii) all amounts advanced to the fund by the Secretary of the Treasury pursuant to this section; (iii) all amounts appropriated by subsection (b) of this section; and (iv) such additional amounts as Congress may appropriate for expenses necessary or incidental to administering this Act. Such additional amounts are hereby authorized to be appropriated."

ADMINISTRATIVE EXPENSES a/

Budget Authority By Object Classification

<u>Limitation on Administration</u>	Fiscal year 2000	Fiscal year 2001	Fiscal year 2002	Increase or
<u>Direct obligations by object class</u>	<u>actual amounts(\$) b/c/</u>	<u>estimated amounts(\$) c/d/</u>	<u>estimated amounts(\$) c/</u>	<u>decrease(\$)</u>
Personnel compensation:				
Full-time permanent	57,328,000	59,890,000	62,721,000	2,831,000
Positions other than permanent	1,094,000	1,145,000	1,255,000	110,000
Other personnel compensation	<u>1,024,000</u>	<u>1,041,000</u>	<u>1,083,000</u>	<u>42,000</u>
Total personnel compensation	59,446,000	62,076,000	65,059,000 e/	2,983,000
Personnel benefits: civilian	12,055,000	12,982,000	13,794,000	812,000
Benefits for former personnel	2,140,000 f/	50,000	182,000	132,000
Travel and transportation of persons	593,000	763,000	763,000	0
Transportation of things	130,000	125,000	123,000	(2,000)
Rental payments to GSA g/	2,626,000	2,850,000	3,232,000	382,000
Communications, utilities, and				
miscellaneous charges	3,500,000	4,007,000	3,939,000	(68,000)
Printing and reproduction	247,000	263,000	297,000	34,000
Consulting services	338,000	626,000	581,000	(45,000)
Other services	7,105,000	7,936,000	7,668,000 h/	(268,000)
Supplies and materials	791,000	785,000	843,000	58,000
Equipment	<u>1,603,000</u>	<u>2,537,000</u>	<u>1,219,000</u>	<u>(1,318,000)</u>
Total, direct obligations	90,574,000	95,000,000	97,700,000	2,700,000
Unobligated balance	81,000	—	—	—
Total budget authority	<u>90,655,000</u>	<u>95,000,000</u>	<u>97,700,000 i/</u>	<u>2,700,000</u>

a/ In addition to the amounts shown, the Office of Inspector General is requesting, in a separate justification document, \$6.48 million for administrative expenses in fiscal year 2002.

b/ Amounts reflect the \$91 million appropriation to the Limitation on Administration received under Public Law 106-113 in fiscal year 2000, less a rescission of \$345,000 required by P.L. 106-113.

c/ The Limitation on Administration excludes \$4.7 million in fiscal year 2000 that the RRB expects to be reimbursed from the Health Care Financing Administration (for conducting Medicare activities) and others. Amounts for fiscal years 2001 and 2002 exclude \$4.4 million that the RRB expects to be reimbursed from the Health Care Financing Administration and others in each of these years.

d/ Amount reflects appropriations received under Public Law 106-554 for fiscal year 2001.

e/ This amount includes a locality-based comparability and cost-of-living increase of 3.6% effective January 2002, in accordance with OMB guidance.

f/ The fiscal year 2000 total includes voluntary separation incentive payments of \$2,070,000 for 67 employees and \$70,000 for normal unemployment insurance.

g/ Reflects the payment of actual costs to the General Services Administration (GSA) for the rental of space. The fiscal year 2002 amount assumes that the RRB, as a trust fund agency, will continue to pay actual costs to GSA for the rental of space.

h/ This amount includes an estimated \$2,000 for education and training of the acquisition workforce (OMB Circular A-11, Section 112.3).

i/ The Railroad Retirement Board expects to spend \$18,000 on energy conservation measures in fiscal year 2002 (OMB Circular A-11, Section 112.3).

Note: Amounts are rounded to the nearest thousand.

## ADMINISTRATIVE EXPENSES

### Budget Authority by Strategic Goal

<u>Limitation on Administration</u>	Fiscal year 2000 actual				Fiscal year 2001 estimate a/				Fiscal year 2002 estimate a/			
<u>Program by strategic goal</u>	<u>FTE's</u>	<u>%</u>	<u>Amounts(\$)</u>	<u>%</u>	<u>FTE's</u>	<u>%</u>	<u>Amounts(\$)</u>	<u>%</u>	<u>FTE's</u>	<u>%</u>	<u>Amounts(\$)</u>	<u>%</u>
Strategic goal:												
1. Customer service	635	56	45,988,200	51	647	58	51,000,000	54	640	58	52,600,000	54
2. Stewardship	187	16	15,006,000	17	242	22	20,000,000	21	239	22	20,700,000	21
3. Alignment of resources	57	5	4,968,000	5	—	—	—		—	—	—	
4. Technology and automation	<u>257</u>	23	<u>24,612,000</u>	27	<u>228</u>	20	<u>24,000,000</u>	25	<u>225</u>	20	<u>24,400,000</u>	25
Total, direct program obligations			90,574,200				95,000,000				97,700,000	
Unobligated balance			80,800				—				—	
Total budget authority	<u>1,136</u>		<u>90,655,000</u>		<u>1,117</u>		<u>95,000,000</u>		<u>1,104</u>		<u>97,700,000</u>	

a/ Effective in fiscal year 2001, the Railroad Retirement Board's Strategic Plan includes these strategic goals:

1. Provide excellent customer service.
2. Safeguard our customers' trust funds through prudent stewardship.
3. Expand the use of technology and automation to achieve our mission.

## Staffing History

### Actual Full-time Equivalent Employment

<u>Fiscal year</u>	<u>Total FTE's a/</u>	<u>Change from previous year</u>	<u>Percent change from previous year</u>	<u>Cumulative FTE reductions</u>	<u>Cumulative percent change since fiscal year 1993</u>
1993	1,698 <u>b/</u>	---	---	---	---
1994	1,615 <u>b/</u>	-83	-4.9%	83	-4.9%
1995	1,483 <u>b/</u>	-132	-8.2%	215	-12.7%
1996	1,401 <u>b/</u>	-82	-5.5%	297	-17.5%
1997	1,297	-104	-7.4%	401	-23.6%
1998	1,227	-70	-5.4%	471	-27.7%
1999	1,196	-31	-2.5%	502	-29.6%
2000	1,136	-60	-5.0%	562	-33.1%
2001	1,117 <u>c/</u>	-19	-1.7%	581	-34.2%
2002	1,104 <u>c/</u>	-13	-1.2%	594	-35.0%

a/ Excludes staffing for the Office of Inspector General.

b/ Includes Special Management Improvement Fund FTE's for fiscal years 1993-1996 of 80, 58, 30, and 10, respectively.

c/ Reflects estimated FTE's to be used. In fiscal year 2002, the RRB estimates that an administrative budget of \$97.7 million will allow us to fund 1,104 FTE's.

### Funding for Major Contracts

All contracts \$10,000 and over that are planned for fiscal year 2002 are shown below.

<u>Title and description</u>	<u>2002 estimated program funding (\$)</u>
<u>Data processing</u>	
Computer recovery service Fee for backup data processing operations in the event of an emergency or disaster.	99,500
Automated interface with Treasury Charges for using the automated interface with Treasury to transmit and receive financial and accounting information.	13,000 <u>a/</u>
Conversion/migration of payroll/personnel system Contractor assistance required to migrate software to a new database platform and to convert to latest software version.	150,000
Enterprise architecture Contractor assistance required to further develop the agency's enterprise architecture plans	78,000 <u>b/</u>
<u>Support of benefit program activities</u>	
Payments to Treasury Fees in issuing checks and making electronic funds transfers on the RRB's behalf, as well as postage costs incurred for RRB mailings released by Treasury.	815,000 <u>a/</u>
Consultative medical services Medical evidence reviews concerning benefit and claim matters and preparation of advisory medical opinions.	415,100 <u>b/</u>

Funding for Major Contracts (continued)

<u>Title and description</u>	<u>2002 estimated program funding (\$)</u>
Medical fees Payments for medical services and hospital transcripts used to make disability determinations.	2,000,000
National manager's meeting Costs associated with a training meeting on various program activities for field service personnel.	32,000
Contact representative training Costs associated with training new and current contact representatives.	52,500
Transcription services Transcripts of hearings regarding appeal cases for use by the Board Members.	25,000
Program support services Costs for field office alterations, miscellaneous and administrative support services, and health unit maintenance agreements.	76,800 <u>a/</u>
Photocopiers Fee required to continue the agency's photocopier contract.	350,000
<u>Financial management</u>	
Investment consulting services Expert advice to be provided the agency concerning the investment of trust fund assets.	40,000 <u>b/</u>
Financial information service Provides information used in making investment decisions.	21,700



Funding for Major Contracts (continued)

<u>Title and description</u>	<u>2002 estimated program funding (\$)</u>
<u>Personnel development, evaluation, and assistance</u>	
Employee assistance program Professional assistance for employees with personal problems that adversely affect work performance.	36,000 <u>b/</u>
Use of training programs Fee for use of audiovisual and computer-based training materials.	20,000
<u>Building services</u>	
Janitorial services Fees to be paid for janitorial services.	480,000
Claim files service Fees to be paid to the Federal Records Center to maintain the RRB claim files.	474,000 <u>a/</u>
Building security Guard service.	277,000
Building maintenance and repairs Costs for interior and exterior painting, carpet and drapery replacement, energy conservation initiatives, facility maintenance, elevator maintenance, and repairs to the building.	866,140
Agency program total	<u>6,321,740</u>

a/ Contractual services provided by Federal Government agencies comprise \$1,378,800 of the agency program total of \$6,321,740.

b/ The amounts requested are for advisory and assistance services.

## BUDGET AUTHORITY BY FUND

### Railroad Retirement Program

	Fiscal Year 2000 Actual <u>Amounts</u>	Fiscal Year 2001 Estimated <u>Amounts</u>	Fiscal Year 2002 Estimated <u>Amounts</u>	Increase or Decrease <u>Amounts</u>
<u>Direct Program:</u>				
Railroad Retirement Account	\$50,498,200	\$53,700,000	\$55,668,000	\$1,968,000
Social Security Equivalent Benefit Account	24,200,000	24,100,000	24,400,000	300,000
Railroad Retirement Supplemental Account	<u>2,000,000</u>	<u>2,000,000</u>	<u>2,000,000</u>	<u>0</u>
Total, direct railroad retirement program obligations	\$76,698,200	\$79,800,000	\$82,068,000	\$2,268,000

### Railroad Unemployment and Sickness Insurance Program

#### Direct program:

Railroad Unemployment Insurance Administration Fund	<u>13,876,000</u>	<u>15,200,000</u>	<u>15,632,000</u>	<u>432,000</u>
Total, direct retirement, unemployment and sickness insurance obligations	\$90,574,200	\$95,000,000	\$97,700,000	\$2,700,000
Unobligated balance	<u>80,800</u>	<u>—</u>	<u>—</u>	<u>—</u>
Total, direct retirement and unemployment and sickness insurance budget authority	<u>\$90,655,000 a/</u>	<u>\$95,000,000 b/</u>	<u>\$97,700,000</u>	<u>\$2,700,000</u>

a/ Reflects the appropriation of \$91,000,000 to the Limitation on Administration received under P.L. 106-113, less a rescission of \$345,000 required by P.L. 106-113.

b/ Reflects an appropriation of \$95,000,000 provided by P.L. 106-554.

## LIMITATION ON ADMINISTRATION

### Financing

	<u>Fiscal year 2000 actual</u>	<u>Fiscal year 2001 estimate</u>	<u>Fiscal year 2002 estimate</u>
<u>Financing</u>			
Total, direct program obligations	\$90,574,200	\$95,000,000	\$97,700,000
Unobligated balance	<u>80,800</u>	<u>—</u>	<u>—</u>
Limitation	<u>\$90,655,000</u>	<u>\$95,000,000</u>	<u>\$97,700,000</u>

### Relation of direct program obligations to outlays

Obligations incurred, net	\$90,574,200	\$95,000,000	\$97,700,000
Obligated balance, start of year	0	5,605,000	5,605,000
Obligated balance, end of year	<u>-5,605,000</u>	<u>-5,605,000</u>	<u>-5,605,000</u>
Outlays from limitation	<u>\$84,969,200</u>	<u>\$95,000,000</u>	<u>\$97,700,000</u>

Note: Funds to administer the Railroad Retirement Act and the Railroad Unemployment Insurance Act are combined into a single administrative account. Funds to administer the Railroad Retirement Act are transferred from the Railroad Retirement Account, the Social Security Equivalent Benefit Account, and the Railroad Retirement Supplemental Account to the Limitation on Administration. Funds required to administer the Railroad Unemployment Insurance Act are transferred from the Railroad Unemployment Insurance Administration Fund to the Limitation on Administration.

The financial operations of the Limitation on Administration can also be expressed in a sources and uses of funds table as shown below.

Sources and Uses of Funds

	Fiscal year 2000 <u>actual</u>	Fiscal year 2001 <u>estimate</u>	Fiscal year 2002 <u>estimate</u>
<u>Direct program sources</u>			
Available from benefit trust funds by Congressional appropriation	<u>\$90,655,000</u>	<u>\$95,000,000</u>	<u>\$97,700,000</u>
<u>Direct program uses</u>			
Administrative expenses	\$90,574,200	\$95,000,000	\$97,700,000
Unobligated balance	<u>80,800</u>	<u>—</u>	<u>—</u>
Total uses:	<u>\$90,655,000</u>	<u>\$95,000,000</u>	<u>\$97,700,000</u>

Limitation on Administration

Amounts Available for Obligation

	Fiscal year 2000 <u>actual</u>	Fiscal year 2001 <u>estimate</u>	Fiscal year 2002 <u>estimate</u>
Appropriation	\$91,000,000	\$95,000,000	\$97,700,000
Less:			
Amount rescinded in accordance with Public Law 106-113.	(345,000)		
Subtotal, adjusted appropriation	\$90,655,000		
Less:			
Unobligated balance	<u>80,800</u>	<u>0</u>	<u>0</u>
Total direct obligations <u>a/</u>	<u>\$90,574,200</u>	<u>\$95,000,000</u>	<u>\$97,700,000</u>

a/ In addition, the RRB expects to be reimbursed about \$4.147 million for fiscal year 2000, \$3.9 million for fiscal year 2001, and \$3.9 million for fiscal year 2002 by the Health Care Financing Administration (HCFA) to conduct Medicare activities. The final HCFA reimbursement amount for fiscal year 2000 was still pending agreement with HCFA as of the date of this document. The RRB also expects to receive approximately \$516,000 in other reimbursements in fiscal year 2000, and an additional \$481,300 in other reimbursements in fiscal years 2001 and 2002.

SUMMARY OF CHANGES 1/

FY 2001 appropriation.....	\$95,000,000
FY 2002 estimate .....	\$97,700,000
Net change.....	\$2,700,000

	<u>2001 Current (Base)</u>		<u>2002</u> <u>Change from Base</u>	
	<u>FTE's</u>	<u>Budget authority</u>	<u>FTE's</u>	<u>Budget authority</u>
<u>Increases:</u>				
A. <u>Built-in:</u>				
1. Net change related to full-time and part-time salaries: loss of FTE's, -\$795,000; annualization of January 2001 pay raise, +\$654,000; January 2002 pay raise, +\$1,741,000; grade/step increases, +\$1,341,000; and other personnel compensation (awards and overtime), +\$42,000.	1,117	\$62,076,000	-13	\$ 2,983,000
2. Net change related to personnel benefits: loss of FTE's, -\$171,000; annualization of January 2001 pay raise, +\$137,000; January 2002 pay raise, +\$364,000; grade/step increases, +\$277,000; other changes in benefit costs, +\$205,000.	—	\$12,982,000	—	<u>812,000</u>
Subtotal, built-in compensation increases			<u>-13</u>	<u>\$ 3,795,000</u>
B. <u>Program:</u>				
1. Benefits for former personnel	—	\$ 50,000	—	\$ 132,000
2. Rental payments to GSA	—	2,850,000	—	382,000
3. Printing and reproduction	—	263,000	—	34,000
4. Supplies	—	785,000	—	<u>58,000</u>
Subtotal, program increases				<u>\$ 606,000</u>
Total increases				<u>\$ 4,401,000</u>
<u>Decreases:</u>				
C. <u>Program:</u>				
1. Transportation of things	—	\$ 125,000	—	\$ (2,000)
2. Communications, utilities and miscellaneous charges	—	4,007,000	—	(68,000)
3. Consulting services	—	626,000	—	(45,000)
4. Other services	—	7,936,000	—	(268,000)
5. Equipment	—	2,537,000	—	<u>(1,318,000)</u>
Total decreases				<u>\$(1,701,000)</u>
Net change			<u>-13</u>	<u>\$ 2,700,000</u>

1/ Corresponds to page 12 - Administrative Expenses

## APPROPRIATIONS HISTORY TABLE

<u>Year</u>	<u>Budget estimate to the Congress</u>	<u>House allowance</u>	<u>Senate allowance</u>	<u>Appropriation/ continuing resolution</u>
1993	\$94,600,000	\$92,516,000 <u>a/</u>	\$91,824,000 <u>b/</u>	\$91,729,000 <u>c/</u>
1994	\$90,801,000	\$90,801,000	\$90,801,000	\$90,801,000
1995	\$92,460,000	\$90,912,000	\$90,912,000	\$90,816,000 <u>d/</u>
1996	\$92,700,000	\$90,816,000	\$89,094,000	\$89,692,000 <u>e/</u>
1997	\$90,558,000	\$87,898,000	\$87,898,000	\$87,728,000 <u>f/</u>
1998	\$88,800,000	\$85,728,000	\$87,728,000	\$87,228,000 <u>g/</u>
1999	\$86,000,000	\$86,000,000	\$90,000,000	\$89,935,400 <u>h/</u>
2000	\$86,500,000	\$90,000,000	\$91,000,000	\$90,655,000 <u>i/</u>
2001	\$92,500,000	\$95,000,000	\$92,500,000	\$95,000,000 <u>j/</u>
2002	\$97,700,000			

a/ Represents the House allowance of \$92,565,000 less a 4 percent reduction (\$49,000) in travel expenses called for in the House bill.

b/ Represents the Senate allowance of \$92,565,000 less a 0.8 percent across-the-bill reduction of \$741,000.

c/ Represents a final allowance of \$91,824,000 less an 8 percent reduction (\$95,000) in the amount budgeted for consulting services.

d/ Amount reflects appropriations received under Public Law (P.L.) 103-333 of \$90,912,000 less the rescission totaling \$96,000 under P.L. 104-19.

e/ Amount reflects funding made available under P.L. 104-134, after a rescission and cancellation of budget authority totaling \$263,000.

f/ Amount reflects funding made available under P.L. 104-208, less a rescission and cancellation of budget authority totaling \$170,000.

g/ Amount reflects funding made available under P.L. 105-78.

h/ Amount reflects the appropriation of \$90,000,000 made available under P.L. 105-277, less a rescission of \$64,600 required by P.L. 106-51.

i/ Amount reflects funding made available under P.L. 106-113, after a rescission of \$345,000.

j/ Amount reflects funding made available under P.L. 106-554.

## Strategic Goals

The RRB's budget request for ongoing operations is distributed among three strategic goals presented in the agency's Strategic Plan:

- I. To provide excellent customer service,
- II. To safeguard our customers' trust funds through prudent stewardship,
- III. To expand our use of technology and automation to achieve our mission.

These goals are discussed in detail in the RRB's Annual Performance Plan for Fiscal Year 2002, beginning on page 27. Dollar amounts are shown for each strategic goal representing the resources needed to achieve the performance goals. As a lead-in to the plan, the tables on the next page provide the summary workloads for the retirement/survivor and unemployment/sickness insurance programs.



Retirement/Survivor Benefit Program  
Summary Processing Workload Table

<u>Fiscal years</u>	<u>Average number of annuitants</u>	<u>New applications</u>	<u>Reactivated applications</u>	<u>Number of payments</u>	<u>Supplemental annuities awarded</u>	<u>Social security awards</u>	<u>Medicare enrollments</u>
1991	880,426	57,687	52,586	11,085,204	11,086	8,075	8,977
1992	862,904	55,889	58,089	10,698,014	11,197	7,956	8,337
1993	843,204	50,854	65,220	10,627,914	8,752	7,353	7,815
1994	819,931	48,241	62,584	10,339,329	8,026	6,951	6,158
1995	799,158	44,838	56,535	10,038,332	7,681	6,221	5,815
1996	775,387	41,756	52,731	9,890,682	7,256	5,440	5,757
1997	751,558	41,126	50,458	9,447,929	6,942	5,980	5,309
1998	727,603	38,970	47,544	9,166,205	7,077	5,995	6,242
1999	704,159	38,413	47,265	8,886,055	6,719	6,108	5,890
2000	681,779	37,549	45,924	8,626,488	7,266	7,838	5,942
2001 (est)	663,500	38,000	47,000	8,400,000	7,000	6,000	6,000
2002 (est)	644,500	37,000	46,000	8,100,000	8,000	6,000	6,000

Railroad Unemployment/Sickness Insurance Program  
Summary Processing Workload Table

<u>Fiscal years</u>	<u>Railroad employment 1/</u>	<u>Unemployment insurance</u>			<u>Sickness insurance</u>		
		<u>Applications</u>	<u>Claims</u>	<u>Payments</u>	<u>Applications</u>	<u>Claims</u>	<u>Payments</u>
1991	285,000	41,169	313,004	248,799	35,588	249,000	190,564
1992	276,000	29,388	270,198	231,816	32,482	226,357	181,720
1993	271,000	25,420	206,509	175,812	31,622	201,977	165,908
1994	266,000	23,989	190,950	159,879	30,355	205,528	170,918
1995	265,000	21,995	147,378	120,870	28,955	193,483	160,906
1996	257,000	21,143	162,434	135,863	27,291	192,630	162,130
1997	253,000	17,121	120,136	99,042	27,670	180,962	155,358
1998	256,000	13,253	82,103	67,759	26,317	170,336	146,659
1999	256,000	16,261	99,874	81,990	26,267	170,306	145,853
2000	245,000 (est)	17,720	103,934	85,756	28,039	177,709	153,143
2001 (est)	239,000	18,000	108,000	89,000	28,000	179,000	154,000
2002 (est)	233,000	17,000	103,000	85,000	26,000	171,000	147,000

1/ Average annual railroad employment is based on mid-month counts and presented on a calendar year basis.

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